

PATENT APPLICATION Docket No. 11675.114

> Art Unit 1763

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kei-Yu Ko

Serial No.:

08/846,671

Filed:

April 30, 1997

For:

UNDOPED SILICON DIOXIDE AS ETCH STOP FOR

SELECTIVE ETCH OF DOPED SILICON DIOXIDE

Examiner:

George A. Goudreau

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

Commissioner for Patents Washington, D.C. 20231

Sir:

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the 28 day of February 2002.

- Supplemental Information Disclosure Statement
- Form PTO-1449 listing five references
- Copies of five references
- Form PTO-2038 for \$180.00
- Transmittal for Supplemental Information Disclosure Statement
- Postcard

Dated this 28th day of February 2002.

Respectfully submitted,

Gregory M Taylor

Attorney for Applicant

Registration No. 34,263

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PATENT TRADEMARK OFFICE

o: Commissioner



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In re application of)
	Kei-Yu Ko)
Serial No.:	08/846,671)) Art Unit
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For:	UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE	OFIGNAL PARE
Examiner:	George A. Goudreau	(Tile)

TRANSMITTAL FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATE

Commissioner for Patents Washington, D.C. 20231

Sir:

MAR 202002 TOUR TAIL Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is a Supplemental Information Disclosure Statement.

37 CFR 1.97 (b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97 (c)

- The Supplemental Information Disclosure Statement submitted herewith is being filed _X after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:
 - 1. a final action under 37 CFR 1.113, or
 - 2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Commissioner for Patents Page 2

Also submitted herewith is:

a certification as specified in 37 CFR 1.97(e);

or

- <u>x</u> The fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).
- x Form PTO-1449 listing five (5) references submitted for consideration.
- _x Copies of five (5) references listed on the Form PTO-1449.

The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate of this sheet is enclosed.

- Any fee required in relation to filing of this letter or any documents transmitted therewith.
- The submission fee set forth in 37 CFR § 1.17(p) in the event the 37 CFR § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).

Dated this 28th day of February 2002.

Respectfully submitted

Gregory M. Taylor

Attorney for Applicant

Registration No. 34,263

022001

PATENT TRADEMARK OFFICE

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In re application of

Kei-Yu Ko

Serial No.:

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For:

UNDOPED SILICON DIOXIDE AS ETCH STOP FOR

Examiner:

SELECTI.

George A. Goudreau

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner for Patents Washington, DC 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of

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Commissioner for Patents Page 2

which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is enclosed.

Dated this 28 day of February 2002.

Respectfully submitted,

Gregory M. Taylor Attorney for Applicant Registration No. 34,263

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